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#### NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 08/26/2008

JOHN R. ROSS TREX ENTERPRISES 10455 PACIFIC CENTER CT. SAN DIEGO, CA 92121

EXAMINER YANG, NELSON C PAPER NUMBER

1641

ARTHNIT

DATE MAILED: 08/26/2008

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/616.251 07/08/2003 Peter Martin 9476

TITLE OF INVENTION: OPTICAL SENSOR AND METHODS FOR MEASURING MOLECULAR BINDING INTERACTIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$720	\$300	\$0	\$1020	11/26/2008

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED.</u> THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as NO:

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

maintenance fee notifications.  CURRINT CORRESPONDENCE ADDRESS Place: the Block 1 for any change of address)  7590 08/26/2008  JOHN R. ROSS  TREE ENTERPRISES 10455 PACIFIC CENTER CT.				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.					
				Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.					
SAN DIEGO, C	A 92121							(T	Depositor's name)
				ㄴ					(Signature)
				ᆫ					(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMA	TION NO.
10/616,251 TITLE OF INVENTION	07/08/2003 i: OPTICAL SENSOR A	ND METHODS FOR M	Peter Martin EASURING MOLECU	ULAI	R BINDING INTE	RACT	484 IONS	947	6
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DAT	E DUE
nonprovisional	YES	\$720	\$300		\$0		\$1020	11/2	6/2008
EXAM	TINER	ART UNIT	CLASS-SUBCLASS	3					
YANG, N		I64I	435-287200		•				
"Fee Address" ind PTO/SB/47; Rev 03-1 Number is required.  3. ASSIGNEE NAME A	condence address (or Cha B/122) attached. lication (or "Fee Address 32 or more recent) attach LND RESIDENCE DAT/ less an assignce is ident th in 37 CFR 3.11. Comp	inge of Correspondence "Indication form and Use of a Customer		rnativ single or a attor II be or typ he pa g an	vely, e firm (having as a gent) and the nam meys or agents. If printed. ec) atent. If an assign assignment.	membes of u no nan	er a 2p to p to se is 3	ocument has b	
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This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	nation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DC 313-1450.	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain 1.14. This collection is depending upon the is the Chief Information O COMPLETED FORM	or n is esti indiv Office IS TO	etain a benefit by t imated to take 12 : idual case. Any r, U.S. Patent and D'THIS ADDRESS	he pub minuter omment Trader S. SEN	tic which is to file (and to complete, including s on the amount of tire ark Office, U.S. Deptor of the complete of the comple	by the USPT g gathering, p ne you require artment of Cor for Patents, P.	O to process) reparing, and to complete nmerce, P.O. O. Box 1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/616,251	07/08/2003	Peter Martin	484	9476	
75	90 08/26/2008	EXAMINER			
JOHN R. ROSS		YANG, NELSON C			
TREX ENTERPRI			ART UNIT	PAPER NUMBER	
10455 PACIFIC C			1641		

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 507 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 507 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)	
10/616,251	MARTIN ET AL.	
Examiner	Art Unit	
Nelson Vana	16/1	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative

- of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to the response filed April 21, 2008. The allowed claim(s) is/are 1-27,29-34, 36-47, renumbered 1-45. 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)  $\square$  All b) ☐ Some\* c) ☐ None of the: 1. T Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)). \* Certified copies not received: \_\_\_\_\_. Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. CORRECTED DRAWINGS (as "replacement sheets") must be submitted. (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached 1) Inhereto or 2) In to Paper No./Mail Date (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d). 6. 

  DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL. Attachment(s) 1. | Notice of References Cited (PTO-892) 5. Notice of Informal Patent Application 2. Notice of Draftperson's Patent Drawing Review (PTO-948) Interview Summary (PTO-413), Paper No./Mail Date
- Information Disclosure Statements (PTO/SB/08).
- Paper No./Mail Date 4/21/08
- ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 7. X Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- Other .

/Nelson Yang/ Patent Examiner, Art Unit 1641

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# DETAILED ACTION

## Election/Restrictions

Claims 1-5, 7-17, 21-26, 29, 30, 38-47 are allowable. Claims 6, 18-20, and 27, previously withdrawn from consideration as a result of a restriction requirement, requires all the limitations of an allowable claim. Pursuant to the procedures set forth in MPEP § 821.04(a), the restriction requirement between the different species of light sources, as set forth in the Office action mailed on June 15, 2006, is hereby withdrawn and claims 6, 18-20, and 27 are hereby rejoined and fully examined for patentability under 37 CFR 1.104. In view of the withdrawal of the restriction requirement, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

Claims 1-5, 7-17, 21-26, 29, 30, 38-47 are directed to an allowable product. Pursuant to the procedures set forth in MPEP § 821.04(B), claims 31-37, directed to the process of making or using an allowable product, previously withdrawn from consideration as a result of a restriction requirement, are hereby rejoined and fully examined for patentability under 37 CFR 1.104.

Because all claims previously withdrawn from consideration under 37 CFR 1.142 have been rejoined, the restriction requirement as set forth in the Office action mailed on July 15, 2006 is hereby withdrawn. In view of the withdrawal of the restriction requirement as to the Art Unit: 1641

rejoined inventions, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

## EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with John Ross on August 11, 2008. Support for the amendments can be found on p.23-26 of the specification.

Please amend the Brief Description of the Drawings in the specification as follows:

On p. 4, line 21, please change FIGS. 6A&B to FIGS. 6A-C.

On p. 4, line 24, please change FIG. 9 to FIG. 9A-F.

On p. 5, line 6, please change FIG. 15 to FIGS. 15A and 15B

Please cancel claim 35, and amend claims 1, 31, 38, 41, and 42 as follows:

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1. An optical sensor for monitoring molecular binding interactions, said sensor comprising:

A) at least one porous silicon region comprising more than 1000 pores, each pore having a nominal width and a nominal depth at least 10 times larger than said nominal width, with the

depth of each pore being approximately equal to the depth of at least most other pores in said

porous silicon region, said porous silicon region defining a top surface and a bottom surface,  $\underline{\text{and}}$ 

said bottom surface being parallel or approximately parallel to said top surface;

B) at least one buffer-sample fluid flow channel located above said at least one porous silicon

region providing a fluid flow passage across said porous silicon region;

C) at least one light source for illuminating said at least one porous silicon region;

D) at least one interference monitor adapted to monitor interference patterns caused by

interference of light reflected from said top surface with light reflected from said bottom surface

of said at least one porous silicon region, said interference monitor comprising a deep well linear

photodiode array of pixels, each pixel having a photoelectron full well capacity of about 156

million photoelectrons or more, and having a frame rate of about one hundred or more frames of

interference fringe data per second;

 $\hbox{E) a fluid flow control system for producing controlled flow of buffer solutions, ligand}\\$ 

containing solutions, and analyte containing solutions through said at least one fluid flow

channel; and

F) a computer processor programmed with a computer program for making causing said

processor to execute molecular binding measurements based on changes in the interference

patterns monitored by the at least one interference monitor while analytes bind with and

disassociate from ligands attached to surfaces of said pores, said computer program comprising

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a special correlation method executable instructions for calculating optical path differences in measured interference fringe patterns wherein the measured fringe patterns is that are correlated to a test fringe pattern, wherein the test fringe pattern varies sinusoidally as a function of optical

path differences divided by the wavelength of said light.

31. A method for measuring molecular binding interactions, utilizing an optical sensor

comprising: having:

a) at least one porous silicon region comprising more than 1000 pores, each pore having a nominal width and a nominal depth at least 10 times larger than said nominal width, with the depth of each pore being approximately equal to the depth of at least most other pores in said

porous silicon region, said porous silicon region defining a top surface and a bottom surface, and

said bottom surface being parallel or approximately parallel to said top surface;

b) at least one buffer-sample fluid flow channel located above said at least one porous silicon

region providing a fluid flow passage across said porous silicon region;

c) at least one light source for illuminating said at least one porous silicon region;

d) at least one spectral interference monitor for adapted to monitoring interference fringe patterns

 $\underline{caused\ by\ interference\ of}\ light\ reflected\ from\ said\ top\ surface\ \underline{with\ light\ reflected\ from}\ and\ said$ 

bottom surface of said at least one porous silicon region, said interference monitor comprising a

deep well linear photodiode array of pixels, each pixel having a photoelectron full well capacity

of about 156 million photoelectrons or more, and having a frame rate of about one hundred or

more frames of interference fringe data per second;

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e) a fluid flow control system for producing controlled flow of buffer solutions, ligand containing solutions, and analyte containing solutions through said at least one fluid flow channel: and

f) a computer processor programmed with a computer program for making causing said processor to execute kinetic binding measurements based on changes in the spectral interference patterns monitored by the at least one interference monitor while analytes bind with and disassociate from ligands attached to surfaces of said pores, said computer program comprising a special correlation method executable instructions for calculating optical path differences in measured interference fringe patterns monitored by said at least one spectral monitor while analytes bind with and disassociate from ligands attached to surfaces of said pores that are correlated the measured interference fringe patterns to a test fringe pattern, wherein the test fringe pattern varies sinusoidally as a function of optical path differences divided by the wavelength of said light, wherein said method comprises:

A) immobilizing ligand molecules within said pores;

- B) causing a solution containing analyte molecules to flow across said porous silicon region to permit analyte molecules to diffuse close to and become bound at least temporarily by to said ligand molecules to form interference fringe patterns:
- C) illuminating at least a portion of said porous silicon region so as to produce reflections from said bottom surface and said top surface; and
- D) monitoring changes in spectral interference fringe patterns produced by light reflected from said top and bottom surfaces in order to obtain information concerning binding reactions between said ligand and said analyte.

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38. An optical sensor for monitoring molecular binding interactions, said sensor comprising:

A) at least one porous silicon region comprising more than 1000 pores, each pore having a nominal width and a nominal depth at least 10 times larger than said nominal width, with the

depth of each pore being approximately equal to the depth of at least most other pores in said porous silicon region, said porous silicon region defining a top surface and a bottom surface, and

said bottom surface being parallel or approximately parallel to said top surface;

B) at least one buffer-sample fluid flow channel located above said at least one porous silicon region providing a fluid flow passage across said porous silicon region;

C) at least one light source for illuminating said at least one porous silicon region;

- D) at least one interference monitor adapted to monitor interference patterns caused by interference of light reflected from said top surface with light reflected from said bottom surface of said at least one porous silicon region, said interference monitor comprising a deep well linear photodiode array of pixels, each pixel having a photoelectron full well capacity of about 156 million photoelectrons or more, and having a frame rate of about one hundred or more frames of interference fringe data per second;
- E) a fluid flow control system for producing controlled flow of buffer solutions, ligand containing solutions, and analyte containing solutions through said at least one fluid flow channel; and
- F) a processor means programmed with a computer program for making <u>causing said processor</u> means to execute kinetic molecular binding measurements based on changes in the interference patterns monitored by the at least one interference monitor while analytes bind with and

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disassociate from ligands attached to surfaces of said pores, said computer program comprising a special correlation method executable instructions for calculating optical path differences in measured interference fringe patterns ealculation of optical path differences from measured interference fringe patterns wherein each measured fringe pattern is that are correlated to a test fringe pattern, wherein the test fringe pattern varies sinusoidally as a function of optical path differences divided by the wavelength of said light.

- 41. An optical sensor for monitoring molecular binding interactions, said sensor comprising:
- A) at least one porous silicon region, said porous silicon region defining a top surface and a bottom surface, <u>and</u> said bottom surface being parallel or approximately parallel to said top surface:
- B) at least one buffer-sample fluid flow channel located above said at least one porous silicon region providing a fluid flow passage across said porous silicon region;
- C) at least one light source for illuminating said at least one porous silicon region;
- D) at least one interference monitor adapted to monitor interference patterns caused by interference of light reflected from said top surface with light reflected from said bottom surface of said at least one porous silicon region, said interference monitor comprising a deep well linear photodiode array of pixels, each pixel having a photoelectron full well capacity of about 156 million photoelectrons or more, and having a frame rate of about one hundred or more frames of interference fringe data per second;

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E) a fluid flow control system for producing controlled flow of buffer solutions, ligand containing solutions, and analyte containing solutions through said at least one fluid flow channel; and

- F) a computer processor programmed with a computer program for making causing said processor to execute molecular binding measurements based on changes in the interference patterns monitored by the at least one interference monitor while analytes bind with and disassociate from ligands attached to surfaces of said pores, said computer program comprising a special correlation method executable instructions for calculating optical path differences in measured interference fringe patterns ealculation of optical path differences from measured interference fringe patterns wherein each measured fringe pattern is that are correlated to a test fringe pattern, wherein the test fringe pattern varies sinusoidally as a function of optical path differences divided by the wavelength of said light.
- 42. An optical sensor for monitoring molecular binding interactions, said sensor comprising:

  A) at least one porous silicon region comprising more than 1,000 pores, each pore having a nominal width and a nominal depth at least 10 times larger than said nominal width, with the depth of each pore being approximately equal to the depth of at least most other pores in said porous silicon region, said porous silicon region defining a top surface and a bottom surface, and said bottom surface being parallel or approximately parallel to said top surface;
- B) at least one buffer-sample fluid flow channel located above said at least one porous silicon region providing a fluid flow passage across said porous silicon region;
- C) at least one light source for illuminating said at least one porous silicon region;

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D) at least one interference monitor adapted to monitor interference patterns caused by interference of light reflected from said top surface with light reflected from said bottom surface of said at least one porous silicon region, said interference monitor comprising a deep well linear photodiode array of pixels, each pixel having a photoelectron full well capacity of about 156 million photoelectrons or more, and having a frame rate of about one hundred or more frames of interference fringe data per second;

- E) a fluid flow control system for producing controlled flow of buffer solutions, ligand containing solutions, and analyte containing solutions through said at least one fluid flow channel; and
- F) a computer processor programmed with a computer program for making causing said processor to execute molecular concentration measurements based on changes in the interference patterns monitored by the at least one interference monitor while analytes bind with and disassociate from ligands attached to surfaces of said pores, said computer program comprising a special correlation method executable instructions for calculating optical path differences in measured interference fringe patterns each measured fringe pattern is that are correlated to a test fringe pattern, wherein the test fringe pattern varies sinusoidally as a function of optical path differences divided by the wavelength of said light.

The following is an examiner's statement of reasons for allowance: the prior art fails to teach a test fringe pattern that varies sinusoidally as a function of optical path differences divided by the wavelength of the light.

Application/Control Number: 10/616,251

Art Unit: 1641

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance"

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nelson Yang whose telephone number is (571)272-0826. The examiner can normally be reached on 8:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long V. Le can be reached on (571)272-0823. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nelson Yang/ Patent Examiner, Art Unit 1641